



AGENTIC DECISIONS REGISTER

Log significant decisions and incidents to ensure
traceability and compliance

LEVEL

DURATION

USE

TRACK

Operational

1 h 45 - 3 h

Traceability / compliance

Toolkit

OBJECTIVE

Ensure the traceability of every significant decision made by an agent - or concerning one. The register documents **decisions, validations, incidents, and follow-up actions** to guarantee transparency, compliance (AI Act art. 12, DORA), and accountability.

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DECISIONS & INCIDENTS REGISTER

DATE / TIME	AGENT	DECISION / EVENT	RISK	VALIDATED BY	OUTCOME / IMPACT	FOLLOW-UP

● Critical = immediate halt ● High = 24 h review ● Moderate = weekly review ● Low = log only ● Very low = sampling



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NOTES & CONTEXT

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REGISTER GOVERNANCE RULES



WHY MAINTAIN THIS REGISTER?

- AI Act requirement (art. 12 · logging) & DORA
- Evidence of governance for fiduciary audit
- No record, no accountability



CONFIDENTIALITY

- ! Contains sensitive data (customers, amounts)
- ! Restricted access: DDAO (Delegated Decision Agent Officer), DPO, executive leadership
- ! Retention & purge per GDPR policy



REVIEW FREQUENCY

- ✓ Critical / High: review within 24 h
- ✓ Moderate: weekly review
- ✓ Monthly overall review (DDAO + DPO)

Next: turn tracked incidents into an improvement plan with **ACF-10**, trigger the **ACF-07 · Kill Switch** if a threshold is crossed, and tie each decision back to the **ACF-13 mandate**.