



OBJECTIVE

Ensure the traceability of every significant decision made by an agent — or concerning one. The register documents **decisions, validations, incidents, and follow-up actions** to guarantee transparency, compliance (AI Act art. 12, DORA), and accountability.

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DECISIONS & INCIDENTS REGISTER

DATE / TIME	AGENT	DECISION / EVENT	RISK	VALIDATED BY	OUTCOME / IMPACT	FOLLOW-UP

● Critical = immediate halt    ● High = 24 h review    ● Moderate = weekly review    ● Low = log only    ● Very low = sampling



LEVEL	Operational
DURATION	Continuous · 5 min/entry
USE	Traceability / compliance
TRACK	Toolkit

2 NOTES & CONTEXT

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3 REGISTER GOVERNANCE RULES

**WHY MAINTAIN THIS REGISTER?**

- AI Act requirement (art. 12 — logging) & DORA
- Evidence of governance for fiduciary audit
- No record, no accountability

**CONFIDENTIALITY**

- ! Contains sensitive data (customers, amounts)
- ! Restricted access: DDAO (Delegated Decision Agent Officer), DPO, executive leadership
- ! Retention & purge per GDPR policy

**REVIEW FREQUENCY**

- ✓ Critical / High: review within 24 h
- ✓ Moderate: weekly review
- ✓ Monthly overall review (DDAO + DPO)

**Next:** turn tracked incidents into an improvement plan with **ACF-09**, trigger the **ACF-06 — Kill Switch** if a threshold is crossed, and tie each decision back to the **ACF-12 mandate**.