



OBJECTIVE

Measure the maturity of your agentic governance across **8 domains**, prioritize actions and execute them over **30 days**. **Organizational scale 1→5 (CMMI)** — distinct from the **N0→N3** maturity of an individual agent (ACF-04).

1 GENERAL INFORMATION

ORGANIZATION

AUDIT LEAD

AUDIT DATE

SCOPE ASSESSED

AUDIT OBJECTIVE

2 AUDIT GRID — MATURITY ACROSS 8 DOMAINS

DOMAIN ASSESSED	KEY CRITERIA	MATURITY (1 TO 5)	EVIDENCE / COMMENT
Governance	Defined framework · clear roles & responsibilities	1 2 3 4 5	
Strategy & alignment	Strategic alignment · objectives per use case	1 2 3 4 5	
Data	Quality & availability · classification · GDPR	1 2 3 4 5	
Technology	Fit-for-purpose architecture · mastered tooling · resilience	1 2 3 4 5	
Security & compliance	Security controls · AI Act · risk management	1 2 3 4 5	
Oversight & control	Production monitoring · alerts · kill switch	1 2 3 4 5	
Skills & culture	Internal skills · training · responsible culture	1 2 3 4 5	
Performance & value	Defined KPIs · measured value · continuous improvement	1 2 3 4 5	

- 1 Initial — ad hoc

2 Reactive — loosely formalized

3 Defined — documented

4 Managed — measured & controlled

5 Optimal — optimized & innovative

Overall maturity level (average across the 8 domains): / 5



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SCORE INTERPRETATION

AVERAGE SCORE	LEVEL	INTERPRETATION	RECOMMENDED ACTIONS
1.0 – 1.9	INITIAL	Governance is non-existent or very weak. High risk, insufficient control.	Lay the foundations: framework, roles, baseline policies, awareness.
2.0 – 2.9	REACTIVE	Effort underway but unstructured. Significant vulnerabilities.	Formalize key processes, document and strengthen controls.
3.0 – 3.9	DEFINED	Governance in place but improvable. Consistency to be enhanced.	Strengthen measurement, oversight and strategic alignment.
4.0 – 4.4	MANAGED	Robust and measured governance. Sound risk control.	Optimize effectiveness and value. Automate selected controls.
4.5 – 5.0	OPTIMAL	Benchmark governance. Continuous improvement culture.	Innovate, share best practices, pursue excellence.

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RESULTS ANALYSIS

✓ STRENGTHS IDENTIFIED	⚠ WEAKNESSES / MAJOR GAPS

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ACTION PRIORITIZATION

PRIORITY	DOMAIN CONCERNED	KEY ACTION	EFFORT (1-5)	IMPACT (1-5)
1				
2				
3				
4				
5				

EFFORT 1 a few hours, no budget · 2 a few days, in-house · 3 a few weeks, limited budget · 4 several months / external vendor · 5 multi-quarter program
IMPACT 1 cosmetic · 2 limited gain · 3 real gain on one key domain · 4 structural gain across multiple domains · 5 unlocks compliance / sovereignty
→ Prioritize High impact × Low effort (quick wins), then high-impact programs.



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30-DAY ACTION PLAN

WEEK	ACTIONS TO PERFORM	EXPECTED DELIVERABLE	OWNER
W1 — Analysis & scoping			
W2 — Design			
W3 — Implementation			
W4 — Control & adjustment			

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SELF-REFLECTION QUESTIONS

What is the principal strength of our agentic governance?

What is the greatest uncontrolled risk?

Which decisions must be taken as a priority?

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GENERAL RECOMMENDATIONS

- ☐ Define a clear, approved governance framework
- ☐ Maintain a register of agents and their use cases
- ☐ Strengthen security and compliance (DPO, DPIA)
- ☐ Train and raise awareness across teams
- ☐ Appoint a governance lead (DDAO — Delegated Decision Agent Officer)
- ☐ Standardize data management and classification
- ☐ Deploy oversight and alerting tools
- ☐ Measure performance and risk on a regular basis



9 EXECUTIVE SUMMARY

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MAIN CONCLUSIONS

TOP PRIORITIES (1 TO 5)

1

2

3

4

5

EXPECTED BENEFITS

IMMEDIATE NEXT STEPS

10 AUDIT VALIDATION

AUDITOR

FUNCTION

DATE

SIGN-OFF

11 NEXT AUDIT

RECOMMENDED DATE

RECOMMENDED FREQUENCY

Quarterly

Semi-annual

Annual

Ad hoc

✓ BEST PRACTICES

✓ Involve key stakeholders in the audit

✓ Rely on evidence, not impressions

✓ Assess each domain independently

✓ Prioritize high-impact actions

⚠ WATCH-OUTS

! Neglecting data quality

! Underestimating security and compliance

! Lacking visibility on agents in production

! An audit without a concrete action plan

What's next: roll out the actions in an **ACF-09 plan**, update the **ACF-12 Agent Mandate**, log decisions in the **ACF-08 register**, and re-measure sovereignty with **ACF-00**.